

Contents

PREFACE	
1 INTRODUCTION AND BACKGROUND	1
1.1 The Transportation System	1
1.1.1 Definition and Scope	1
1.1.2 Fixed Facilities	1
1.1.3 Flow Entities and Technology	2
1.1.4 Control System	2
1.1.5 Transportation Demand	2
1.1.6 Quantification versus Valuation	3
1.2 Transportation System Classification	4
1.2.1 Classification Schemes	4
1.2.2 Private and Public Transportation	5
1.3 The Role of Government	5
1.3.1 Governmental Participation	5
1.3.2 Instruments of Governmental Involvement	6
1.3.3 Arguments for and against Governmental Intervention	6
1.4 Tools and Applications	8
1.4.1 Background	8
1.4.2 Mathematical Models	8
1.4.3 Components of Mathematical Models	10
1.4.4 Transportation Models	11
1.5 Summary	12
References	12

Part 1: Design and Operation	13
2 ROADWAY DESIGN	13
2.1 Introduction	14
2.2 Equations of Motion	14
2.2.1 Rectilinear Motion,	14
2.2.2 Braking Distance,	19
2.2.3 Curvilinear Motion,	22
2.2.4 Relative Motion,	26
2.3 Human Factors	29
2.3.1 Perception-Reaction,	29
2.3.2 Dilemma Zones,	32
2.3.3 Visual Acuity,	39
2.3.4 Lateral Displacement,	41
2.4 Geometric Design of Highways	43
2.4.1 Background,	43
2.4.2 Functional Classification of Highways,	43
2.4.3 Cross-Section Design,	45
2.4.4 Horizontal Alignment,	46
2.4.5 Determination of Design Radius,	50
2.4.6 Superelevation Design,	51
2.4.7 Vertical Alignment,	54
2.4.8 Stopping and Passing Sight Distance,	58
2.4.9 Geometrics of Sight Distance,	60
2.4.10 Discussion of Alignment Design,	62
2.4.11 Delineation of Vehicular Paths,	65
2.4.12 Design Vehicles,	65
2.4.13 Channelization of At-Grade Intersections,	67
2.4.14 Modern Roundabouts,	75
2.4.15 Traffic-Calming Devices,	78
2.5 Pavement Structures	82
2.5.1 Background,	82
2.5.2 Pavement Materials and Types,	83
2.5.3 Pavement Structure,	85
2.5.4 Pavement Design,	88
2.5.5 Design Methods,	89
2.5.6 Life-Cycle Economic Analysis,	89
2.5.7 Pavement Management Systems,	90
2.5.8 High Performance Concrete, Superpave, and LTPP,	91
2.6 Summary	93
Exercises	94
References	97

3 TRAFFIC STREAM FLOW MODELS**100**

- 3.1 Introduction 100
- 3.2 Vehicular Stream Models 100
 - 3.2.1 *Vehicular Following, 100*
 - 3.2.2 *Safety Considerations, 102*
- 3.3 Stream Variables 104
 - 3.3.1 *Spacing and Concentration, 104*
 - 3.3.2 *Headway and Flow, 104*
 - 3.3.3 *Average or Mean Speed, 104*
 - 3.3.4 *Time-Distance Diagrams of Flow, 106*
- 3.4 Vehicular Stream Equations and Diagrams 109
 - 3.4.1 *The Fundamental Equation of a Vehicular Stream, 109*
 - 3.4.2 *The Case of Uniform Flow, 109*
 - 3.4.3 *The Case of Highway Traffic Flow, 114*
- 3.5 Stream Measurements: The Moving-Observer Method 117
 - 3.5.1 *Background, 117*
 - 3.5.2 *The Moving-Observer Method, 118*
- 3.6 Shock Waves in Traffic 123
 - 3.6.1 *Background, 123*
 - 3.6.2 *The Shock Wave Equation, 125*
- 3.7 Summary 129
- Exercises 129
- References 131

4 CAPACITY AND LEVEL OF SERVICE ANALYSIS**133**

- 4.1 Introduction 133
- 4.2 Pedestrian and Bicycle Facilities 134
 - 4.2.1 *Background, 134*
 - 4.2.2 *Pedestrian-Flow Models, 134*
 - 4.2.3 *Pedestrian Level of Service, 136*
 - 4.2.4 *Bicycle Level of Service, 136*
- 4.3 Transit Systems: Uninterrupted Flow 138
 - 4.3.1 *Background, 138*
 - 4.3.2 *Uninterrupted Speed-Flow Relationships, 139*
 - 4.3.3 *Fleet Size, 141*
 - 4.3.4 *Transit Network Fleet Size, 142*

- 4.4 Transit Systems: Interrupted Flow 144
 - 4.4.1 Background, 144
 - 4.4.2 Transit Stations, 145
 - 4.4.3 Single-Platform Capacity, 145
 - 4.4.4 Other Designs, 147
- 4.5 Highways: Uninterrupted Flow 147
 - 4.5.1 Background, 147
 - 4.5.2 Level of Service, 148
 - 4.5.3 Freeway-Base Conditions, 150
 - 4.5.4 Freeway Capacity and Level of Service, 152
 - 4.5.5 Freeway Congestion Quantification, 155
 - 4.5.6 Capacity Restrictions, 156
- 4.6 Highways: Interrupted Flow 157
 - 4.6.1 Background, 157
 - 4.6.2 Types of Signals, 157
 - 4.6.3 Signal Detectors and Controllers, 158
 - 4.6.4 Signal Timings, 167
 - 4.6.5 Time-Distance Diagram of Interrupted Flow, 175
 - 4.6.6 Pretimed Signal Coordination, 176
 - 4.6.7 Actuated Signal Coordination, 179
- 4.7 Capacity of Signalized Intersections 179
 - 4.7.1 Background, 179
 - 4.7.2 Capacity and Performance Analysis, 180
 - 4.7.3 Planning Analysis, 188
 - 4.7.4 Case Studies, 189
 - 4.7.4.1 Simple Signalized Intersection, 190
 - 4.7.4.2 Complex Signalized Intersection, 193
 - 4.7.4.3 Planning a Signalized Intersection, 197
 - 4.7.5 Arterial Street LOS and Congestion Quantification, 200
- 4.8 Traffic Data Collection Methods 203
- 4.9 Capacity Analysis of Unsignalized Intersections 207
 - 4.9.1 Background, 207
 - 4.9.2 Two-Way Stop-Controlled Intersections, 208
 - 4.9.3 All-Way Stop-Controlled Intersections, 212
 - 4.9.4 Roundabouts, 216
 - 4.9.5 Signalization Warrants, 218
- 4.10 Summary 219
 - Exercises 220
 - References 229

Part 2: Transportation Systems	231
5 TRANSPORTATION MODES	232
5.1 Introduction	232
5.2 Modes	233
5.2.1 Motor Carriers	235
5.2.2 Railroads	237
5.2.3 Pipelines	239
5.2.4 Water Transportation	240
5.2.5 Air Carriers	243
5.2.6 Express Package Carriers	247
5.3 Intercity Passenger Travel	249
5.3.1 Major Modes	249
5.3.2 Choice of Mode	250
5.3.3 Emerging Intercity Modes	253
5.4 Summary and Comparisons among Modes and Countries	255
Exercises	257
References	261
6 URBAN AND INTELLIGENT TRANSPORTATION SYSTEMS	263
6.1 Introduction	263
6.2 Development of Cities and Transportation Modes	263
6.3 Urban Transportation Modes	268
6.3.1 Roadway Modes	268
6.3.2 Fixed Guideway Modes	269
6.3.3 Demand-Responsive, Dual Mode, and Other Modes	271
6.4 Urban Transportation Issues	272
6.4.1 General	272
6.4.2 Traffic Congestion	273
6.4.2.1 Supply Strategies	277
6.4.2.2 Demand Strategies	279
6.5 Intelligent Transportation Systems	281
6.5.1 User Services	283
6.5.2 Architecture Components and Standards	288
6.5.3 ITS in Europe and Japan	292

- 6.5.4 *Mature ITS Applications, 295*
 - 6.5.4.1 *Detectors, 295*
 - 6.5.4.2 *Traffic Signal Systems, 298*
 - 6.5.4.3 *Freeway Management, 301*
 - 6.5.4.4 *Electronic Road Pricing and Automatic Vehicle Classification, 307*
- 6.5.5 *Safety and Liability, 310*
- 6.6 **Summary 311**
- Exercises 312**
- References 314**

7 TRANSPORTATION PLANNING

318

- 7.1 **Introduction 318**
- 7.2 **Historical Development in the United States 320**
 - 7.2.1 *Colonial Era, 320*
 - 7.2.2 *Turnpikes and Canals, 320*
 - 7.2.3 *Railroads, 321*
 - 7.2.4 *Rural Highways, 323*
 - 7.2.5 *Urban and Regional Transportation Planning, 325*
- 7.3 **Development of a Formal Planning Process 327**
 - 7.3.1 *Housing Policies, 327*
 - 7.3.2 *The 3C Process, 328*
 - 7.3.3 *Social Concerns, 329*
 - 7.3.4 *National Environmental Legislation, 330*
 - 7.3.5 *Toward Planning Coordination, 331*
 - 7.3.6 *Intermodal Surface Transportation Efficiency Act of 1991, 333*
 - 7.3.7 *Transportation Equity Act for the Twenty-First Century, 334*
- 7.4 **Planning Studies and Methods 335**
 - 7.4.1 *Background, 335*
 - 7.4.2 *Antecedents to Planning Studies, 335*
 - 7.4.3 *Planning for Future Needs, 336*
 - 7.4.4 *Large-Scale Urban Travel Surveys, 336*
 - 7.4.5 *Travel-Demand Forecasts, 336*
- 7.5 **Other Planning Issues 339**
 - 7.5.1 *Background, 339*
 - 7.5.2 *Transportation and Land Use, 339*
 - 7.5.3 *Operational Land-Use Models, 341*
 - 7.5.4 *Project, System, and Operational Planning, 343*
 - 7.5.5 *Planning at the Statewide Level, 344*

- 7.6 Summary 344
- Exercises 345
- References 346

8 TRAVEL-DEMAND FORECASTING

348

- 8.1 Introduction 348
- 8.2 Trip Generation 350
 - 8.2.1 Background, 350
 - 8.2.2 Trip Purpose, 351
 - 8.2.3 Zone-based versus Household-Based Models, 351
 - 8.2.4 Productions and Attractions, 353
 - 8.2.5 Regression Models, 354
 - 8.2.6 Trip-Rate Analysis, 355
 - 8.2.7 Cross-Classification Models, 356
 - 8.2.8 The FHWA-Simplified Trip-Production Procedure, 358
 - 8.2.9 Summary, 361
- 8.3 Trip Distribution 361
 - 8.3.1 Background, 361
 - 8.3.2 The Gravity Model, 362
 - 8.3.3 Calibration of the Gravity Model, 369
 - 8.3.4 Limitations of the Gravity Model, 376
 - 8.3.5 The Fratar Model, 377
 - 8.3.6 Limitations of the Fratar Model, 380
 - 8.3.7 Summary, 381
- 8.4 Mode Choice 381
 - 8.4.1 Background, 381
 - 8.4.2 Utility and Disutility Functions, 382
 - 8.4.3 The Multinomial Logit (MNL) Model, 385
 - 8.4.4 The Incremental (or Pivot-Point) Logit Model, 388
 - 8.4.5 Independence of Irrelevant Alternatives (IIA) Property, 389
 - 8.4.6 The Nested Logit Model, 390
 - 8.4.7 Estimation of Logit Models, 398
 - 8.4.8 Summary, 399
- 8.5 Trip Assignment 400
 - 8.5.1 Background, 400
 - 8.5.2 Person-Trips and Vehicle-Trips, 401
 - 8.5.3 Diurnal (Time-of-Day) Patterns of Demand, 401
 - 8.5.4 Trip Direction, 402
 - 8.5.5 Historical Context, 402
 - 8.5.6 Highway Network Description, 404

- 8.5.7 *Link Flows and Interzonal Flows*, 408
- 8.5.8 *Route Choice Behavior*, 409
- 8.5.9 *Minimum Path Algorithms*, 409
- 8.5.10 *A Minimum Tree-Seeking Procedure*, 410
- 8.5.11 *Free/All-or-Nothing Traffic Assignment*, 414
- 8.5.12 *Free/Multipath Traffic Assignment*, 414
- 8.5.13 *Capacity-Restrained Traffic Assignment*, 416
- 8.5.14 *Transit Assignment*, 420
- 8.5.15 *Summary*, 421
- 8.6 **Transport Behavior of Individuals and Households** 422
 - 8.6.1 *Background*, 422
 - 8.6.2 *Conceptual Models*, 422
 - 8.6.3 *Demand Models with Behavioral Content*, 425
 - 8.6.4 *Trip-, Journey-, and Tour-Based Models*, 431
- 8.7 **Other Demand-Forecasting Models** 433
 - 8.7.1 *Background*, 433
 - 8.7.2 *Demand-Model Consistency*, 433
 - 8.7.3 *Simultaneous or Direct Demand Formulations*, 433
 - 8.7.4 *Combined Modeling Strategies*, 435
 - 8.7.5 *Models of Demand Elasticity*, 436
- 8.8 **Summary** 441
- Exercises** 442
- References** 450

Part 3: Transportation Impacts

455

9 TRAFFIC IMPACT AND PARKING STUDIES

456

- 9.1 **Introduction** 456
- 9.2 **Traffic Impact Studies** 456
 - 9.2.1 *Background*, 456
 - 9.2.2 *Basic Characteristics*, 457
 - 9.2.3 *Overview of Steps*, 458
 - 9.2.4 *Major Components of Traffic Impact Studies*, 459
 - 9.2.5 *Site and Network Improvement Alternatives*, 468
 - 9.2.6 *Comprehensive Example*, 469
- 9.3 **Parking Studies** 479
 - 9.3.1 *Background*, 479
 - 9.3.2 *Types of Parking*, 480
 - 9.3.3 *Types of Parking Studies*, 481
 - 9.3.4 *Parking Measurements and Analysis*, 486
 - 9.3.5 *Design, Operation, and Other Considerations*, 489

9.4 Summary 491

Exercises 492

References 497

10 AIR QUALITY, NOISE, AND ENERGY IMPACTS

498

10.1 Introduction 498

10.2 Air Pollution 499

10.2.1 Background, 499

10.2.2 Problem Dimensions, 500

10.2.3 Emission Levels, 501

10.2.4 Air Pollution Dispersion, 504

10.2.5 The Box Model, 504

10.3 Noise Generation 506

10.3.1 Background, 506

10.3.2 Noise Measurement, 507

10.3.3 Noise Propagation and Mitigation Strategies, 509

10.3.4 Noise Measures, 509

10.3.5 Mathematical Models of Transportation Noise, 512

10.4 Energy Consumption 515

10.4.1 Background, 515

10.4.2 National Response to the Energy Embargo, 516

10.4.3 Transportation-User Reactions, 516

10.4.4 Energy-Related Transportation Actions, 517

10.4.5 Vehicle-Propulsion Energy, 518

10.4.6 Indirect Energy Consumption, 523

10.5 Summary 523

Exercises 524

References 527

11 EVALUATION AND CHOICE

529

11.1 Introduction 529

11.2 Feasibility and Impact Enumeration 530

11.2.1 Measures of Feasibility, 530

11.2.2 Impact Trade-Offs, 531

11.2.3 Generalized Impact Matrices, 531

11.3 Engineering Economic Analysis 532

11.3.1 Background, 532

11.3.2 Project Evaluation, 532

11.3.3	<i>Independent and Mutually Exclusive Alternatives, 538</i>	
11.3.4	<i>Evaluation of Mutually Exclusive Alternatives, 540</i>	
11.3.5	<i>Identification and Valuation of Benefits and Costs, 541</i>	
11.3.6	<i>Limitations of Economic Evaluation, 542</i>	
11.4	Effectiveness Analysis 543	
11.4.1	<i>Background, 543</i>	
11.4.2	<i>Cost-Effectiveness, 543</i>	
11.4.3	<i>Rank-Ordering Techniques, 545</i>	
11.4.4	<i>Scoring Techniques, 550</i>	
11.4.5	<i>Group Consensus, 553</i>	
11.5	Summary 553	
	Exercises 554	
	References 555	
Part 4: Supporting Elements		557
12 ELEMENTS OF ENGINEERING ECONOMY		558
12.1	Money and Its Time Value 558	
12.2	Interest and Discount 559	
12.3	Simple and Compound Interest 560	
12.4	Nominal and Effective Interest Rates 562	
12.5	Discrete and Continuous Compounding 562	
12.6	Cash Flows 563	
12.7	Equal Series of Payments 565	
12.8	Superposition of Cash Flows 567	
	Exercises 569	
13 PROBABILITY AND STATISTICS		571
13.1	Introduction 571	
13.2	Elements of Probability Theory 572	
13.2.1	<i>Background, 572</i>	
13.2.2	<i>Definition of Probability, 572</i>	
13.2.3	<i>Conditional Probability and Independence, 574</i>	
13.2.4	<i>Discrete Distributions, 575</i>	
13.2.5	<i>Some Common Discrete Distributions, 578</i>	

- 13.2.6 *Continuous Random Variables, 583*
- 13.2.7 *Some Common Continuous Distributions, 584*
- 13.3 Experimental Data and Model Parameters 588
- 13.4 Linear and Nonlinear Regression 589
 - 13.4.1 *Simple Linear Regression, 589*
 - 13.4.2 *Correlation, 594*
 - 13.4.3 *Multiple Linear Regression, 596*
 - 13.4.4 *Direct Nonlinear Regression, 596*
 - 13.4.5 *Linear Regression with Transformed Variables, 598*
 - 13.4.6 *Selection of Explanatory Variables, 599*
- 13.5 Hypothesis Testing and Model Evaluation 601
 - 13.5.1 *Single-Parameter Test, 602*
 - 13.5.2 *Test of a Linear Model, 603*
 - 13.5.3 *Test of Equality of Segmented Linear Models, 604*
 - 13.5.4 *Comprehensive Judgement of a Linear Model, 605*
- 13.6 Summary 607
- Exercises 607
- References 610

14 QUEUING AND SIMULATION

611

- 14.1 Introduction 611
- 14.2 Queuing Models 612
 - 14.2.1 *Background, 612*
 - 14.2.2 *Single-Server FIFO Systems, 613*
 - 14.2.3 *Multiserver FIFO Systems, 614*
- 14.3 Computer Simulation 616
 - 14.3.1 *Background, 616*
 - 14.3.2 *Monte Carlo Simulation, 616*
 - 14.3.3 *Simulation of the Outcomes of a Continuous Random Variable, 619*
 - 14.3.4 *Generation of Random Numbers, 620*
 - 14.3.5 *The Simulation Model, 621*
- 14.4 Summary 624
- Exercises 624
- References 625

15	TRANSPORTATION SOFTWARE	626
15.1	Introduction	626
15.2	Geographic Information Systems (GIS)	627
	15.2.1 GIS Fundamentals,	627
	15.2.2 GIS Products,	630
	15.2.3 GIS and GPS,	631
15.3	Traffic Simulation Software	632
	15.3.1 Traffic Simulation Model Characteristics,	632
	15.3.2 Classification,	632
	15.3.3 Traffic Simulation Models,	634
	15.3.3.1 Urban Street Networks,	634
	15.3.3.2 Freeways and Freeway Corridors,	640
	15.3.3.3 Mixed Networks,	642
	15.3.4 Model Selection, Output Variability, and Other Limitations,	644
15.4	Capacity Software: HCS, SIDRA, and Others	646
15.5	Planning Software: EMME/2, QRS II, TRANPLAN, MINUTP, TP+, TRANSCAD, TRANSIMS	646
	References	560
	APPENDIX A 1982 GUIDELINES FOR THE PREPARATION OF ENVIRONMENTAL DOCUMENTS	653
	INDEX	679